# PART V: ATTACHMENTS

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**ATTACHMENT 2: CBCAP Annual Report Numbers Guidelines**

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| **CBCAP Annual Report Participant Numbers Reporting Guidelines** | | | |
| **DATA** | | | **CHARACTERISTICS** |
| **Table I.** | | **Children, Parents/Caregivers and Families Who** **Received Preventive Direct Services**  From the State During the Year – FY2019  Community-Based Child Abuse Prevention Program (CBCAP) Grant | |
| **SUMMARY DATA DEFINITION** | | | |
| Preventive direct services under CBCAP are beneficial activities aimed at preventing child abuse and neglect. Such activities may be directed at the general population or specific populations identified as being increased risk of abusing or neglecting their children. The primary focus of these activities are to better strengthen and support families by increasing protective factors and reducing the risk factors that can reduce the likelihood of abuse or neglect. The six primary protective factors to be increased by the preventive direct services include: nurturing and attachment, parental resilience, knowledge of parenting and of child and youth development, social connections, concrete supports for parents, and social and emotional competence of children. The primary risk factors that may be addressed include caregiver problems with mental health, substance abuse, and family and community violence, and other negative conditions in the child and family’s life situation. Ultimately, the goals of these activities are to increase the strength and stability of families, to increase parents’ confidence and competence in their parenting abilities, to afford children a stable and supportive environment and to increase the safety, permanency and well-being of children and families. Such activities do not include information and referral, one-time public education events, or public awareness campaigns. | | | |
| **INSTRUCTIONS / ERROR CONDITIONS** | | | |
| This is the number of children and number of families who received services aimed at preventing child abuse and neglect during the year. These services may be directed at specific populations identified as being at increased risk of becoming abusive or they may provide direct services to the general population. Direct services means that the services must be provided to an individual or family and the planned duration of the services should be more than a one-time event. Some examples of preventative direct services include: voluntary home visiting, parenting programs, parent mutual support, respite care, family resource centers, or other family support programs. If the participant only attends the direct service for one-time and drops out, they should still be counted in this category since the planned duration was for more than one-time.  The data should reflect recipients of direct services funded by the CBCAP program. Direct services funded by CBCAP should reflect the Federal CBCAP funds plus the amount for the state’s required 20% match as reflected in their application for this year’s funding. (Note: Some states include more than a 20% match in their application). Since a number of states blend the CBCAP with other federal, state and local funding, these states will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.  The data should not include recipients of information and referral services, one-time public education events, and other public awareness campaigns. The recipients of these activities should be counted separately as part of Public Awareness Activities (see Table III).  The items in this section request data on recipients of preventive direct services under the CBCAP program. Data on the number of children, parents and the number of families receiving these services is requested. The three possibilities are provided since some programs report by "family", “parent/caregiver” and others report by "child". In answering these questions, to the extent possible, you should NOT duplicate your counts.  This is the number of children, parents/caregivers, and families who received services aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant. The total number should also include the number of adults/children with disabilities who are receiving direct services. | | | |
| **STATE DATA** | | | |
| Can the state provide data for this item? (Y=Yes, N=No) [ ]  Total number of children who received preventative direct services:  Total number of parents/caregivers who received preventative direct services:  Total number of families who received preventative direct services: | | | |
| **STATE COMMENTARY / CONSTRUCTION LOGIC** | | | |
| The state should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the state should provide an explanation why the data cannot reported. | | | |
| **CBCAP Annual Report Participant Numbers Reporting Guidelines** | | |
| **DATA** | | **CHARACTERISTICS** |
| **Table II.** | **Children, Parents/Caregivers with Disabilities Who** **Received Preventive Direct Services**  From the State During the Year – FY2019  Community-Based Child Abuse Prevention Program (CBCAP) Grant | |
| **SUMMARY DATA DEFINITION** | | |
| Preventive direct services under CBCAP are beneficial activities aimed at preventing child abuse and neglect. Such activities may be directed at the general population or specific populations identified as being increased risk of abusing or neglecting their children. The primary focus of these activities are to better strengthen and support families by increasing protective factors and reducing the risk factors that can reduce the likelihood of abuse or neglect. The five primary protective factors to be increased by the preventive direct services include: nurturing and attachment, parental resilience, knowledge of parenting and of child and youth development, social connections, concrete supports for parents, and social and emotional competence of children. The primary risk factors that may be addressed include caregiver problems with mental health, substance abuse, and family and community violence, and other negative conditions in the child and family’s life situation. Ultimately, the goals of these activities are to increase the strength and stability of families, to increase parents’ confidence and competence in their parenting abilities, to afford children a stable and supportive environment and to increase the safety, permanency and well-being of children and families. Such activities do not include information and referral, one-time public education events, or public awareness campaigns. | | |
| **INSTRUCTIONS / ERROR CONDITIONS** | | |
| This is the number of children and adults/caregivers with disabilities who received services aimed at preventing child abuse and neglect during the year. These services may be directed at specific populations identified as being at increased risk of becoming abusive or they may provide direct services to the general population. Direct services means that the services must be provided to an individual or family and the planned duration of the services should be more than a one-time event. Some examples of preventative direct services include: voluntary home visiting, parenting programs, parent mutual support, respite care, family resource centers, or other family support programs. If the participant only attends the direct service for one-time and drops out, they should still be counted in this category since the planned duration was for more than one-time.  The data should reflect recipients of direct services funded by the CBCAP program. Direct services funded by CBCAP should reflect the Federal CBCAP funds plus the amount for the state’s required 20 percent match as reflected in their application for this year’s funding. (Note: Some states include more than a 20 percent match in their application).Since a number of States blend the CBCAP with other federal, state and local funding, these states will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.  The data should not include recipients of information and referral services, one-time public education events, and other public awareness campaigns. The recipients of these activities should be counted separately as part of Public Awareness Activities (see Table III).  The items in this section request data on recipients (adults and children, individually) of preventive direct services under the CBCAP program who also have a disability. The definition of a person with disability has the same meaning for a child or adult with disability under the Individuals with Disabilities Education Act (IDEA) Parts B and C.  Data on the number of children and the number of parents with disabilities receiving these services is requested. **The numbers for Table II should be a subset of the total numbers from Table I.**  This is the number of children and/or parents/caregivers with disabilities who received direct services aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant. | | |
| **STATE DATA** | | |
| Can the state provide data for this item? (Y=Yes, N=No) [ ]  Total number of children with disabilities who received preventative direct services:  Total number of parents/caregivers with disabilities who received preventative direct services: | | |
| **STATE COMMENTARY / CONSTRUCTION LOGIC** | | |
| The state should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the state should provide an explanation why the data cannot reported. | | |
| **CBCAP Annual Report Participant Numbers Reporting Guidelines** | | | |
| **DATA** | | | **CHARACTERISTICS** |
| **Table III.** | | **Individuals Who** **Received Public Awareness or Public Information Activities**  From the State During the Year – FY2019  Community-Based Child Abuse Prevention Program (CBCAP) Grant | |
| **SUMMARY DATA DEFINITION** | | | |
| Public awareness or public education activities under CBCAP are beneficial activities that focus on the healthy and positive development of parents and the promotion of child abuse and neglect prevention activities. These activities can include public education and outreach, information and referral regarding community and social services that are available for families, and public awareness campaigns. Such activities are usually directed at the general population but may also be targeted for specific populations or communities identified at increased risk of abuse or neglect. The primary focus of these activities are to better strengthen and support individuals, families, the community and society by providing information about available family support and prevention resources in the community, increasing the public understanding of the importance of the prevention of child abuse and neglect and increasing community ownership and involvement in prevention activities. Over the long term, it is anticipated that these activities contribute to increasing the safety, permanency and well-being of all children and families. | | | |
| **INSTRUCTIONS / ERROR CONDITIONS** | | | |
| This is the number of individuals received public awareness or public education activities aimed at preventing child abuse and neglect during the year. These services may be directed to the general population or at specific populations identified as being at increased risk of abuse or neglect. These activities may be a one-time event or a series of public education and information sessions. These activities may also include provide information and referral to the community through the telephone, in-person, or through a mail out or website. Some examples of public awareness, public education or information and referral activities include: Blue Ribbon or other Child Abuse Prevention Month campaigns, conducting a public information fair at a local festival, presenting information about child abuse prevention to various agencies or the general public, television or radio advertisements, newsletter mailings, parent support hotlines, information and referral websites, etc.  The data should reflect the individuals who received or were exposed to the public awareness or public education activities funded by the CBCAP program. Direct services funded by CBCAP should reflect the federal CBCAP funds plus the amount for the state’s required 20 percent match as reflected in their application for this year’s funding. (Note: Some states include more than a 20 percent match in their application). Since a number of states blend the CBCAP with other federal, state and local funding, these states will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.  The items in this section request data on recipients of public awareness or public education activities conducted under the CBCAP program. Data on the number of individuals receiving or exposed to these activities is requested. Since it is difficult to provide an exact number of individuals who may have received the public awareness or public education activities, states are advised to provide the most accurate estimate based on the number of participants that reasonably received these activities. For example, the total can include the number of participants in public education session or workshop, the number of newsletters mailed out, the number of individuals who called a parent support line, the number of people exposed to the television or radio advertisements, etc.  This is the number of individuals who received public awareness and/or public education activities aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant. | | | |
| **STATE DATA** | | | |
| Can the state provide data for this item? (Y=Yes, N=No) [ ]  Total number of individuals who received or were exposed to public awareness or public education activities: | | | |
| **STATE COMMENTARY / CONSTRUCTION LOGIC** | | | |
| The state should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the state should provide an explanation why the data cannot reported. | | | |

**ATTACHMENT 3:**

**List of Programs for Potential Coordination and Collaboration with CBCAP**

The information provided in this attachment includes descriptions of programs, services, and initiatives that may have similar target audiences, purposes or goals as the state CBCAP programs. CBCAP lead agencies are encouraged to review the information provided on the programs to consider opportunities to collaborate to enhance the continuum of prevention services and resources that strengthen and support families and communities. CBCAP lead agencies who are currently partnering with the programs, services and initiatives below should include information on the collaborations in their applications and annual reports.

Federal Programs and Agencies for Potential Collaboration

**Administration for Community Living**

The U.S. Department of Health and Human Services’ Administration for Community Living advocates across the federal government for older adults, people with disabilities, and families and caregivers; funds services and supports provided primarily by states and networks of community-based programs; and invests in training, education, research, and innovation. We manage a variety of programs that provide assistance on health and wellness, protecting rights and preventing abuse, supporting consumer control, strengthening the networks of community-based organizations, funding research, and much more. For more information on this program, visit their website at <https://www.acl.gov/programs>.

**Child Care Programs**

Child Care programs support low-income working families by providing access to affordable, high-quality early care and after-school programs. The Office of Child Care (OCC) administers the Child Care and Development Fund (CCDF), a multibillion-dollar federal and state partnership administered by OCC to promote family economic self-sufficiency and to help children succeed in school and life through affordable, high-quality early care and afterschool programs. The OCC works with state, territory and tribal governments to provide support working families struggling to find child care programs that will fit their needs and that will prepare their children to succeed in school. For more information, visit <http://www.acf.hhs.gov/programs/occ>.

**Child Support Enforcement Access and Visitation Programs**

Funds are provided to enable states to create programs that support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement, and development of guidelines for visitation and alternative custody arrangements. For more information, please visit the ACF Office of Child Support Enforcement at <https://www.acf.hhs.gov/css/grants/grant-updates-results/access-and-visitation-mandatory-grants>.

**Early Childhood Comprehensive Systems (ECCS) Program**

Early Childhood Comprehensive Systems, funded by MCHB since 2003, are partnerships between interrelated and interdependent agencies/organizations representing physical and mental health, social services, families and caregivers, and early childhood education to develop seamless systems of care for children from birth to kindergarten entry. Using a [Collaborative Innovation and Improvement Network (CoIIN)](https://mchb.hrsa.gov/maternal-child-health-initiatives/collaborative-improvement-innovation-networks-coiins) approach, the Early Childhood Comprehensive Systems Impact (ECCS Impact) grant program works to enhance early childhood systems building and demonstrate improved outcomes in population-based children’s developmental health and family well-being indicators. Additionally, these grants develop collective impact expertise, and implement and sustain efforts at the state, county and community levels. For more information, visit <https://mchb.hrsa.gov/earlychildhoodcomprehensivesystems>

**Early Intervention Programs through IDEA Part C**

The Program for Infants and Toddlers with Disabilities (Part C of IDEA) is a federal grant program that assists states in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, from birth through two years of age, and their families. For a state to participate in the program it must assure that early intervention will be available to every eligible child and its family. Also, the Governor must designate a [lead agency](http://www.nectac.org/partc/ptclead.asp) to receive the grant and administer the program, and appoint an Interagency Coordinating Council (ICC), including parents of young children with disabilities, to advise and assist the lead agency. Currently, all states and eligible territories are participating in the Part C program. For more information, visit the Early Childhood Technical Assistance Center funded by the U.S. Department of Education Office of Special Education Programs, at <http://ectacenter.org/>.

**Head Start & Early Head Start Programs**

Head Start is a federal program for infants, toddlers and preschool children from low-income families. Head Start programs prepare America’s most vulnerable young children to succeed in school and in life beyond school. To achieve this, Head Start programs deliver services to children and families in core areas of early learning, health, and family well-being while engaging parents as partners every step of the way.

Head Start encompasses Head Start preschool programs, which primarily serve 3- and 4-year-old children, and Early Head Start programs for infants, toddlers, and pregnant women. Head Start services are delivered nationwide through 1,600 agencies which tailor the federal program to the local needs of families in their service area. For more information, visit the Early Childhood Learning and Knowledge Center at https://eclkc.ohs.acf.hhs.gov/.

**Maternal and Child Health Bureau (MCHB)**

**Title V Program**

The specific purpose of the Title V Block Grants to the states is the creation of federal-state partnerships that target the needs of low income or at-risk expectant and new mothers and their children and support: access to quality health care; health promotion efforts that seek to reduce infant mortality and the incidence of preventable diseases, and to increase the number of children appropriately immunized against disease; access to comprehensive prenatal and postnatal care for women; an increase in health assessments and follow-up diagnostic and treatment services; access to preventive and child care services as well as rehabilitative services for children in need of specialized medical services; family-centered, community-based systems of coordinated care for children with special healthcare needs; and toll-free hotlines and assistance in applying for services to pregnant women with infants and children who are eligible for Title XIX (Medicaid). For more information, visit <https://mchb.hrsa.gov/maternal-child-health-initiatives/title-v-maternal-and-child-health-services-block-grant-program>.

**Social Services Block Grant Program**

**The Social Services Block Grant (SSBG)** is a flexible funding source that allows states and territories to tailor social service programming to their population’s needs. Through the SSBG states provide essential social services that help achieve a myriad of goals to reduce dependency and promote self-sufficiency; protect children and adults from neglect, abuse and exploitation; and help individuals who are unable to take care of themselves to stay in their homes or to find the best institutional arrangements. For more information, visit <http://www.acf.hhs.gov/programs/ocs/programs/ssbg>.

**Temporary Assistance to Needy Families (TANF)**

The Temporary Assistance for Needy Families (TANF)program is designed to help needy families achieve self-sufficiency. States receive block grants to design and operate programs that accomplish one of the purposes of the TANF program. The purposes of the TANF program are to provide assistance to needy families so that children can be cared for in their own homes; reduce the dependency of needy parents by promoting job preparation, work and marriage; prevent and reduce the incidence of out-of-wedlock pregnancies; and encourage the formation and maintenance of two-parent families. The federal government provides grants to states to run the TANF program. States have broad flexibility to carry out their programs. The states, not the federal government, decide on the design of the program, the type and amount of assistance payments, the range of other services to be provided, and the rules for determining who is eligible for benefits. For more information, visit <https://www.acf.hhs.gov/ofa/programs/tanf>.

**Office on Trafficking in Persons**   
The Office on Trafficking Persons (OTIP) develops anti-trafficking strategies, policies, and programs to prevent human trafficking; builds health and human service capacity to respond to human trafficking; increases victim identification and access to services; and strengthens health and well-being outcomes for survivors of human trafficking. Services and resources provided by OTIP include Anti-Trafficking in Persons grants, National Human Trafficking Hotline, a Resource Guide for service providers, and the Trafficking Victim Assistance Program. For more information on OTIP, visit <https://www.acf.hhs.gov/otip>.

Community-Based Programs for Potential Collaboration

**Faith-Based and Community Organizations** (often referred to as FBOs/CBOs)

These organizations are a vitally important resource in our communities. They demonstrate care and compassion to those most in need by providing a rich diversity of programs, small and large.

Faith-based and community organizations have unique strengths that government cannot duplicate. They often operate very close to the daily lives of individuals and families in need and thus can reach needy individuals and families that government cannot. For more information about the HHS Center for Faith-Based and Neighborhood Partnerships, visit <https://www.hhs.gov/about/agencies/iea/partnerships/about-the-partnership-center/index.html>.

**Respite Care Programs**

Respite care programs are designed to alleviate social, economic and financial stress among families of children with disabilities or children who are chronically or terminally ill. They provide short-term, in-home or out-of-home nonmedical child care. Respite care provides families or primary caregivers with periods of temporary relief from the pressures of demanding child care routines and lessens the intensity of severe family stress. Respite care programs generally provide 24-hour services, access to medical services, referral to counseling and therapy, staff training including child abuse/neglect reporting responsibilities and public awareness efforts. Respite services continue to be an important component of a full continuum of child abuse prevention and family resource services, and are included as services for funding under the CBCAP program (See sections 205(3)(A) and 208(3)). For information about these programs in your State, visit the ARCH National Respite and Resource Center website at <http://www.archrespite.org>.

Federal Guidance and Legislation that May Promote Collaboration

**Comprehensive Addiction and Recovery Act of 2016 and Infant Plan of Safe Care**

The Comprehensive Addiction and Recovery Act of 2016 (P.L. 114-198) (CARA) outlines CAPTA requirements relating to substance exposed newborns. Specifically, Section 503 of CARA (Infant Plan of Safe Care) aims to help states address the effects of substance abuse disorders on infants, children, and families. For more information, visit <https://www.acf.hhs.gov/cb/resource/im1605>.

**The Family First Prevention Services Act within Division E, Title VII of the Bipartisan Budget Act of 2018** (ACYF-CB-IM-18-02). The purpose of this Information Memorandum is to inform States and Tribes of the enactment of the Family First Prevention Services Act (FFPSA) and provide basic information on the new law. For more information, visit: <https://www.acf.hhs.gov/sites/default/files/cb/im1802.pdf>.

## Public Law 115-123, the Family First Prevention Services Act

## (ACYF-CB-PI-18-07) This Program Instruction (PI) provides instruction for: 1) changes to the title IV-E plan requirements as a result of the Family First Prevention Services Act that are effective as of January 1, 2018 and later; and 2) delayed effective dates for title IV-B/E plan requirements. For more information, visit: <https://www.acf.hhs.gov/cb/resource/pi1807>

## Requirements for Participating in the Title IV-E Kinship Navigator Program

## (ACYF-CB-PI-18-11) FFPSA to allows title IV-E agencies to receive funding for kinship navigator programs that meet certain criteria. The purpose of this PI is to instruct title IV-E agencies on the requirements for participating in the Title IV-E Kinship Navigator Program.

For more information, visit: <https://www.acf.hhs.gov/cb/resource/pi1811>.

**Reshaping child welfare in the United States to focus on strengthening families through primary prevention of child maltreatment and unnecessary parent-child separation** (ACYF-CB-IM-18-05) The purpose of this Information Memorandum (IM) is to strongly encourage all child welfare agencies and CB grantees to work together with the courts and other appropriate public and private agencies and partners to plan, implement and maintain integrated primary prevention networks and approaches to strengthen families and prevent maltreatment and the unnecessary removal of children from their families. It also includes examples of particularly effective or promising approaches, programs and services that other child welfare agencies may consider in their efforts to strengthen and support families and children. For more information, visit: <https://www.acf.hhs.gov/sites/default/files/cb/im1805.pdf>.

**State Requirements for Electing Title IV-E Prevention and Family Services and Programs** (ACYF-CB-PI-18-09). FFPSA authorized new optional title IV-E funding for time-limited (one year) prevention services for mental health/substance abuse and in-home parent skill-based programs for: 1) a child who is a candidate for foster care (as defined in section 475(13) of the Act), 2) pregnant/parenting foster youth, and 3) the parents/kin caregivers of those children and youth (sections 471(e), 474(a)(6), and 475(13) of the Act). The purpose of this Program Instruction is to instruct state title IV-E agencies on the title IV-E prevention program requirements. For more information, visit: <https://www.acf.hhs.gov/sites/default/files/cb/pi1809.pdf>.

**ATTACHMENT 4:**

**Coordination and Collaboration with the Child and Family Services Plan (CFSP)/Annual Progress and Services Report (APSR) Processes and the Child and Family Services Review (CFSR) Program Improvement Plan (PIP)**

Child and Family Services Plan (CFSP)

States are required to develop a CFSP, a five-year strategic plan that sets forth the vision and the goals to be accomplished to strengthen the states' overall child welfare system. A new CFSP is due on June 30, 2019 establishing a plan for fiscal years 2020-2024. A primary purpose of the CFSP is to facilitate states’ integration of the programs that serve children and families, including title IV-B, subparts 1 and 2 of the Act, and the Chafee Foster Care Program for Successful Transition to Adulthood (Chafee) and the Education and Training Voucher programs for older youth and/or young adults formerly in foster care, into a continuum of services for children and their families. The CFSP consolidates plans for these programs to help states comprehensively integrate the full array of child welfare services, from prevention and protection through permanency (45 CFR 1357.15(a)).

States are required to make these plans available to the public and many of them are located online. In addition, while states are no longer required to submit a new plan for the Child Abuse Prevention and Treatment Act (CAPTA) state grant program every five years, they are required to submit an annual update on their use of CAPTA state grant funds each year and to submit other updates to the CAPTA plan, as needed. These CAPTA plan reports and updates are submitted with the CFSP and Annual Progress and Services Reports each year.

The CFSP provides states an opportunity to promote program planning and improvement efforts as vehicles for change. In alignment with efforts to reorient child welfare to focus on strengthening families through prevention at the community level, CB is encouraging states to partner with stakeholders, including the CBCAP SLA, and use the CFSP as a roadmap to create a shared vision that is reflective of CB’s priorities. The CFSP offers CBCAP programs opportunity to play a key role as active participants in providing input into this process.

Annual Progress and Services Report (APSR)

Each year on June 30th, states are required to submit an APSR, which provides an annual update on the progress made toward accomplishing the goals and objectives in the CFSP. In preparing the APSR, each state must conduct an interim review of the progress made since the last APSR toward accomplishing the goals and objectives in the CFSP based on updated information and current data.

Alignment of CFSP/APSRs with the Child and Family Services Reviews (CFSRs)

The CFSR is the process the Children's Bureau uses to conduct periodic reviews of state child welfare systems. After a CFSR is completed, states develop a Program Improvement Plan (PIP) to address areas in their child welfare services that need improvement. The Children’s Bureau continues to work with states to align the CFSP/ APSR, CFSR and the PIP process, and other program and services to achieve measurable progress to improve outcomes for children and families.

A core component of the CFSP/APSR and CFSR process is the collaboration and coordination by the child welfare agency. CBCAP grantees are specifically highlighted in the State APSR Program Instruction as an important resource with whom child welfare agencies should collaborate to strengthen prevention activities. CBCAP lead agencies should be aware of the overall goals and strategies of the child welfare agency and SLAs may have similar goals that they are developing for their programs.

Additionally, as states develop their CFSR PIP, other CB lead agencies might be identified to participate in these program improvements. The Children’s Bureau Regional Office and Central Office staff can assist grantees in making connections to improve collaboration and coordination to improve services for children and families. States are now also required to report information on services to specialized populations. CBCAP grantees may be serving or targeting services to a similar population under these requirements:

* *Services for Children Adopted from Other Countries (section 422(b)(11) of the Act))*

The child welfare agency must describe the activities that the state plans to take over the next five years to support children adopted from other countries, including the provision of adoption and post-adoption supports.

* *Services for Children Under the Age of Five (section 422(b)(18) of the Act)*

The child welfare agency must describe the activities the state plans to undertake over the next five years to reduce the length of time young children under the age of five are in foster care without a permanent family, and to address the developmental needs of all vulnerable children under five years of age.

* *Populations at Greatest Risk of Maltreatment* *(section 432(a)(10) of the Act)*

The child welfare agency must identify and describe which populations are at the greatest risk of maltreatment, how the state identifies these populations and how services will be targeted to those populations over the next five years.

* *Parenting Young Adults in or formerly in Foster Care*

A significant number of young adults ages 18-22 who were in foster care are pregnant or parenting. Based on the current youth outcome survey data reported to the National Youth in Transition Database (NYTD), 10 percent of young women reported having given birth to a child by age 17. At age 19, 14 percent of young women reported having had a child within the prior two years. Many CBCAP SLA’s may be serving this population of young parents and children youth and these services should be aligned with the states’ CFCIP to assure coordination and effective use of resources. Information about the state’s plan can be found as part of the 2015-2019 CFSP or subsequent APSRs.

Below are some suggested ways in which the CBCAP programs can work more closely with the child welfare agencies in their states:

* CBCAP SLA’s and/or members of their prevention network can become more active participants in various stakeholder meetings and planning committees related to the CFSP/APSR. Review the goals and objectives of the CFSP/APSR and identify which of them are related to child abuse prevention, family support and family strengthening. Schedule meetings with representatives from the child welfare agency to discuss ways to collaborate and coordinate efforts. Each ACF Regional Office can facilitate this process.
* CBCAP SLA’s can provide consultation to child welfare agencies, in particular related to strategic planning and the development of a shared vision to reorient child welfare to focus on strengthening families through prevention at the community level.
* CBCAP SLA’s can use the relevant sections of the CFSP that pertains to prevention programs as part of the required description of the inventory of unmet needs in the state.
* CBCAP SLA’s can incorporate the relevant goals and objectives contained in the state’s CFSP/APSR in their overall prevention plan, as well as encourage a stronger emphasis on prevention-related goals.
* CBCAP SLA’s can work with child welfare agencies to pool the IV-B prevention and family support funding with their CBCAP funds and issue a joint Request for Proposals to fund programs that meet the shared goals and objectives of the two programs.
* CBCAP SLA’s can work with the state’s Child Protective Services (CPS) system to plan and develop triage procedures and differential/alternative response systems to refer children not at imminent risk of harm to community organizations or voluntary preventive services. All state agencies receiving the CAPTA State Grant have provided a Governor’s assurance that the state has triage procedures, including the use of differential response, for the appropriate referral of a child not at risk of imminent harm to a community organization or voluntary preventive service (section 106(b)(2)(B)(v) of CAPTA, as amended.

For more information about CAPTA state grants or Promoting Safe and Stable Families programs, contact Catherine Heath at catherine.heath@acf.hhs.gov.

**ATTACHMENT 5: Governor’s Assurance Statement**

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| **COMMUNITY-BASED GRANTS FOR THE PREVENTION OF**  **CHILD ABUSE AND NEGLECT PROGRAM**  **(TITLE II OF THE CAPTA AMENDMENTS OF 2010 (P.L. 111-320))**  **GOVERNOR’S ASSURANCE STATEMENT** |

**As Governor of the state of , I am providing the following assurance that I have designated to be the lead agency, administer the funds, and assume the obligations imposed by the terms and conditions of the Community-Based Grants for the Prevention of Child Abuse and Neglect award. I further assure that the lead agency will provide or will be responsible for providing--**

1. **Community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities;**

**(B) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, and public sector and private nonprofit sector service providers;**

**(C) Direction and oversight to the network through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from federal, state and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions.**

**(D) A demonstrated commitment to parental participation in the development, operation, and oversight of the community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect;**

**(E) A demonstrated ability to work with state and community-based public and private nonprofit organizations to develop a continuum of preventive, family-centered, comprehensive services for children and families;**

**(F) The capacity to provide operational support (both financial and programmatic) and training, technical assistance, and evaluation assistance to community-based child abuse and neglect prevention programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms; and**

**(G) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the state, and demonstrate a financial commitment to those activities.**

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**(Signature of Governor) (Date)**

**OMB Control # 0970-0155 (Expires 03/31/2021)**

**ATTACHMENT 6: State Lead Agency Assurance Statement**

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| **COMMUNITY-BASED GRANTS FOR THE PREVENTION OF**  **CHILD ABUSE AND NEGLECT PROGRAM**  **(TITLE II OF THE CAPTA AMENDMENTS OF 2010 (P.L. 111-320))**  **STATE LEAD AGENCY ASSURANCE STATEMENT** | |
| **STATE:** | **LEAD AGENCY:** |

On behalf of the above named agency, which has been designated by the Governor of the state to be the lead agency responsible to administer the funds and assume the obligations imposed by the terms and conditions under the Community-Based Grants for the Prevention of Child Abuse and Neglect award, the following assurances are provided:

1. A description of the inventory of current unmet needs and current community-based and prevention-focused programs and activities to prevent child abuse and neglect, and other family resource services operating in the state, will be included with the Annual Performance Report (and subsequent year’s reports);

(B) Funds received under this title will supplement, not supplant, other state and local public funds designated for the start-up, maintenance, expansion, and redesign of community-based and prevention-focused programs and activities to strengthen and support families to prevent child abuse and neglect;

(C) The state has the capacity to ensure the meaningful involvement of parents who are consumers and who can provide leadership in the planning, implementation, and evaluation of the programs and policy decisions of the applicant agency in accomplishing the desired outcomes for such efforts; and

(D) The lead agency will provide the Secretary with reports at such time and containing such information as the Secretary may require (and every year on the same date for the life of the grant).

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**(Signature of Responsible Lead Agency Administrator)**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**(Typed Name and Title of Administrator)**

**\_\_\_\_\_\_\_\_\_**

**(Date)**

**OMB Control # 0970-0155 (Expires 03/31/2021)**

**ATTACHMENT 7: Leveraged Funds Worksheet with Example Worksheet and Guidance for Differentiation Between Leveraged and Match Funds**

**LEVERAGED FUNDS WORKSHEET for FY 2019 APPLICATION**

**STATE**: **LEAD AGENCY**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

| **AMOUNT OF**  **CLAIM** | **DATE(S) FUNDS**  **WERE RECEIVED**  **AND BUDGETED**  **BY LEAD AGENCY**  **--------------**  **BUDGETED/SPENT** | | **SOURCE OF FUNDS**  **BEING CLAIMED** | **PURPOSE FOR**  **WHICH FUNDS**  **WERE BUDGETED**  **AND SPENT** |
| --- | --- | --- | --- | --- |
| **$** |  |  |  |  |
| **$** |  |  |  |  |
| **$** |  |  |  |  |
| **INCENTIVE CLAIM ASSURANCE: All amounts figured into this claim are non-federal monies that have been leveraged by the state, directed through the CBCAP lead agency submitting the application, and budgeted and spent in FY 2018 (i.e., 10/1/17 – 9/30/18) to support community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, as defined in the legislation, that coordinate resources among a range of existing public and private organizations for the purposes defined under this Title. No funds claimed here have been claimed to leverage any other source of federal funds. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other federal funding are not eligible to be claimed.** | | | | |
| **TOTAL CLAIM** | **PREPARED BY: (Fiscal Agent) (Date)**  **SUBMITTED BY:**  **(Lead Agency Authority) (Date)**  **(Date)** | | | |

**OMB Control # 0970-0155 (Expires 03/31/2021)**

**LEVERAGED FUNDS - EXAMPLE WORKSHEET**

**STATE**: **LEAD AGENCY**: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

| **AMOUNT OF**  **CLAIM** | **DATE(S) FUNDS**  **WERE RECEIVED**  **AND BUDGETED**  **BY LEAD AGENCY**  **--------------**  **BUDGETED/ SPENT** | | **SOURCE OF FUNDS**  **BEING CLAIMED** | **PURPOSE FOR**  **WHICH FUNDS**  **WERE BUDGETED**  **AND SPENT** |
| --- | --- | --- | --- | --- |
| **$ 37,948.00** | **Monthly Installments (5/2017-9/2017)** | **9/1/18** | **Tax Check offs/Private Contributions** | **3 respite care programs for rural communities in XXX county, XXX county, and XXX county, to expand services available through our Inter-agency Agreement with "Child Care Services".** |
| **$ 1,500,000.00** | **12/1/17** | **9/1/18** | **State Appropriation** | **129 (one per county) School-based Parent Education programs for young & single parents** |
| **$ 50,000.00** | **11/9/17** | **5/19/18** | **Foundation Gift**  **(United Way)** | **5 training programs (one in each geographic region) to recruit & train parents as community interagency leaders/partners.** |
| **INCENTIVE CLAIM ASSURANCE: All amounts figured into this claim are non-federal monies that have been leveraged by the state, directed through the CBCAP lead agency submitting the application, and budgeted and spent in FY 2018 (i.e., 10/1/17 – 9/30/18) to support community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, as defined in the legislation, that coordinate resources among a range of existing public and private organizations for the purposes defined under this Title. No funds claimed here have been claimed to leverage any other source of federal funds. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other federal funding are not eligible to be claimed.** | | | | |
| **TOTAL CLAIM**  **$ 1,587,948.00** | **PREPARED BY:**   **(Fiscal Agent) (Date)**  **SUBMITTED BY: (Lead Agency Authority) (Date)** | | | |

**OMB Control # 0970-0155 (Expires 03/31/2021)**

**DIFFERENTIATING BETWEEN LEVERAGED AND MATCH FUNDS FOR PURPOSES OF THE CBCAP PROGRAM**

|  |  |
| --- | --- |
| **Leveraged Funds are those funds that were received by the CBCAP lead agency from private, state, or other non-federal sources during the prior Federal Fiscal Year (FY), and obligated and spent by the CBCAP lead agency during that same time frame to provide the types of services and activities for which the actual CBCAP federal funds may be used.**  All funds leveraged by your date and claimed when submitting the CBCAP application must have been:   * Leveraged **by your state from private, state, or other** non-federal **sources during the prior Federal Fiscal Year.** * **Directed** through the CBCAP lead agency submitting the application. (**Note:** Leveraged funds must be controlled by the CBCAP lead agency submitting the application. If the state lead agency has changed and the current lead agency submitting the application is not the same lead agency through which the leveraged funds were directed and obligated or spent in the prior Federal Fiscal Year, the current lead agency cannot submit a leveraged funds claim. In that case, your total grant award would be the amount determined by population of children under 18 years of age). * **Budgeted** **and spent** (spent, obligated, restricted) during the prior Federal Fiscal Year in supporting services and activities consistent with a network of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. * **Used** (spent, drawn down) during the prior Federal Fiscal Year to support services and activities for which the actual CBCAP Federal grant funds may be used.   **Only funds that have not been used to leverage additional Federal funds under any other program may be claimed as leveraged funds for this program. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other Federal funding are not eligible to be claimed.** | **Match funds are those funds from non-federal sources that are included in the current application budget to supplement the federal portion of the grant and must amount to at least 20 percent (in cash, not in-kind) of the current application grant award for activities under this title.**  All Funds included as match funds in the current application budget **must** be:   * **Received** by the CBCAP lead agency from private, state, or other **non-federal** sources for use during the current federal Fiscal Year in which the application is being submitted. * **Budgeted** for the current Federal Fiscal Year in supporting a network of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. * **Used** during the Federal Fiscal Year in which it was applied to supplement the federal portion of the grant to support services and activities for which the CBCAP federal grant funds may be used. * **In cash, not in-kind,** and amount to at least 20 percent of the grant award. (**Note:** There is no requirement to include more than a 20 percent match of non-federal funds in the budget. However, if the state has a sizeable leveraged funds claim that ensures their grant award will be greater than the population allocation, the state may wish to figure in a higher percentage for match so that they do not have to submit a budget amendment in October.   **The state may claim the money that it used for match in Federal Fiscal Year 2018 as part of their leveraged funds claim for their Federal Fiscal Year 2019 application, as long as this money is not leveraged to claim for any other source of federal funds, the funds were directed through the lead agency, and the lead agency has remained the same for FY 2018 and FY 2019.** |

**ATTACHMENT 8:**

**Tentative Population Allocation of CBCAP Funds – FY 2019**

IMPORTANT NOTE: The following figures represent our best estimate of what each state, Puerto Rico, and the District of Columbia will receive for the population portion of their grant award allocation. These figures are based on a 70 percent formula figure, census figures available; and an assumption that each of the Territories will receive the base amount of $200,000. These figures could change if census figures are updated, if there are additional set-asides in the appropriation, or if state participation differs from what is predicted at this time. The amount of funds available and population fluctuations have impacted the population distribution amounts for most of the states over last year’s estimated allocation. NOTE: The base allowance has been increased as a result of the increase in the overall appropriation for the CBCAP Program in FY2005. Future years’ base allowances will be subject to the availability of funds.

For purposes of submitting the application, each state may use this amount when calculating its budget and determining the 20 percent matching funds obligation as set forth in section III-G-1 of the Program Instruction. Since this amount is only tentative, each state must submit a revised budget to OCAN, to complete the application, not later than October 31, 2019. The revised budget must be based on the actual amount awarded to the state, as verified in the Grant Award letter. For those States providing matching funds in excess of 20 percent, if the excess amount meets the 20 percent requirement of the final grant award, such states do not need to submit budget amendments.

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ALABAMA $ 327,171 MONTANA $ 200,000

ALASKA $ 200,000 NEBRASKA $ 200,000

AMERICAN SAMOA $ 200,000 NEVADA $ 202,070

ARIZONA $ 486,657 NEW HAMPSHIRE $ 200,000

ARKANSAS $ 210,310 NEW JERSEY $ 592,031

CALIFORNIA $2,712,309 NEW MEXICO $ 200,000

COLORADO $ 376,254 NEW YORK $1,247,018

CONNECTICUT $ 224,700 NORTH CAROLINA $ 685,685

DELAWARE $ 200,000 NORTH DAKOTA $ 200,000

DC $ 200,000 N. MARIANA ISLANDS $ 200,000

FLORIDA $1,236,922 OHIO $ 779,184

GEORGIA $ 749,168 OKLAHOMA $ 286,844

GUAM $ 200,000 OREGON $ 259,132

HAWAII $ 200,000 PENNSYLVANIA $ 797,867

IDAHO $ 200,000 PUERTO RICO $ 207,572

ILLINOIS $ 872,829 RHODE ISLAND $ 200,000

INDIANA $ 469,941 SOUTH CAROLINA $ 327,409

IOWA $ 217,970 SOUTH DAKOTA $ 200,000

KANSAS $ 213,263 TENNESSEE $ 447,970

KENTUCKY $ 301,460 TEXAS $2,175,902

LOUISIANA $ 332,280 UTAH $ 274,956

MAINE $ 200,000 VERMONT $ 200,000

MARYLAND $ 332,280 VIRGINIA $ 557,839

MASSACHUSETTS $ 411,074 VIRGIN ISLANDS $ 200,000

MICHIGAN $ 653,570 WASHINGTON $ 486,063

MINNESOTA $ 384,297 WEST VIRGINIA $ 200,000

MISSISSIPPI $ 215,153 WISCONSIN $ 384,106

MISSOURI $ 413,687 WYOMING $ 200,000

**ATTACHMENT 9:**

**Regional Office Contacts for CBCAP**

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Maine

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